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COMDTNOTE 16794 FEB 11 2002 CANCELLED: FF

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COMMANDANT NOTICE 16794

Subj: CH-1 TO AUXILIARY BOAT CREW TRAINING MANUAL, COMDTINST M16794.51

- 1. <u>PURPOSE</u>. This Notice provides changes to the Auxiliary Boat Crew Training Manual, COMDTINST M16794.51
- 2. <u>ACTION</u>. Area and district commanders, commanders of maintenance and logistics commands, and commanding officers of headquarters units shall ensure units under their command overseeing or directing Auxiliary operations and Auxiliarists at all levels become familiar with, and adhere to this Notice.
- 3. DIRECTIVES AFFECTED. None.
- 4. <u>SUMMARY</u>. The changes are primarily a compilation of routine change recommendations and editorial corrections made since the Manual was published.
- 5. PROCEDURE. Remove and insert the following pages:

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COMDTNOTE M16794

<u>Remove</u>	<u>Insert</u>
Pages 3-5 thru 3-6	Pages 3-5 thru 3-6, CH-2
Pages 4-1 thru 4-4	Pages 4-1 thru 4-4, CH-2
Pages 5-1 thru 5-4	Pages 5-1 thru 5-4, CH-2
Pages 6-5 thru 6-6	Pages 6-5 thru 6-6, CH-2
Pages A-1 thru A-2	Pages A-1 thru A-2, CH-2

Terry M. Cross /s/ Assistance Commandant for Operations

Encl: (1) CH-2 TO AUXILIARY BOAT CREW TRAINING MANUAL, COMDTINST M16794.51

C.2. Completion of Tasks (Continued)

- 2. For each task, the mentor and trainee gather necessary reference material for the trainee to study. Through a combination of self-study of written material and hands-on practice, the trainee learns the skills required for the task.
- 3. Each volume of the Qualification Guide contains a study guide which the trainee may use.
- 4. The mentor demonstrates the task using the procedures outlined in the Qualification Guide.
- 5. The mentor walks the trainee through the task until satisfied that the basic principles are understood.
- 6. The trainee practices the task until the mentor is confident that the trainee is able to consistently meet the task standards on their own.
- 7. When satisfied that the trainee meets the standard, the mentor verifies completion by signing off the task at the bottom of the task page.

C.3. Optional Tasks

Some tasks in the Qualification Guides are designated as **optional**. Completion of these optional tasks is not required for qualification. Optional tasks are intended to give trainees additional opportunities to learn valuable boat crew skills, either during or after the qualification process. All boat crew training programs are encouraged to include training on these optional tasks whenever assets and conditions permit.

Optional tasks may not be made mandatory. Further, no additional tasks may be added or required to achieve qualification or certification. See additional guidance regarding certification in Section 4.A.4.

C.4. Coxswains' Duties

As a general rule, the coxswain is the facility operator while on patrol, meaning that the coxswain has personal control of the helm and throttles. However, the coxswain may choose to have a qualified crew member serve as helmsman and throttle operator, but still exercise overall supervision of the crew and mission.

Continued on next page

3-5 CH-1

C.5. Qualification Timeline

Trainees are expected to complete the Qualification Guide for a crew position within 2 years of starting the program. A trainee who is unable to make reasonable progress towards this goal is using training resources that might be better used on other trainees. In this case, the flotilla leadership should have a frank discussion with the trainee and decide whether the member should continue in the program.

C.6. Patrol Orders

All underway training for task completion, with both mentors and qualification examiners, requires Coast Guard reimbursable or non-reimbursable patrol orders prior to getting underway. It is the responsibility of the coxswain to ensure that patrol orders have been requested and issued by the Coast Guard order issuing authority.

C.7. Coast Guard Unit Support

Commanding officers and officers in charge of Coast Guard units are strongly encouraged to assist in the qualification process for Auxiliarists. It is recommended that at least one certified active duty coxswain per active duty unit be designated as a qualification examiner.

CH-1 3-6

Chapter 4. Certification

Overview

Once the QE oral exam and check ride have been completed, the next step is to be recommended to the director for certification. When certified by the director, the member is authorized to perform patrol duties under Coast Guard orders. This chapter describes this process for newly qualified Auxiliarists, and for Auxiliarists who are also members of the Coast Guard and Coast Guard Reserve. This chapter contains two sections:

• Section A: Certification Policy

• Section B: Dual Membership

Section A. Certification Policy

A.1. Command Responsibility

Certification is an important command responsibility. This process verifies that a member is capable of performing assigned duties safely, effectively, and responsibly, and places great trust and confidence in the member.

In the active duty component, certification is done by the unit commanding officer or officer in charge. It is based on personal observation and knowledge of the individual and represents an operational commander's judgment that the individual can safely perform the duties assigned. In this sense, certification is a risk-management decision and is not to be taken lightly.

In the Auxiliary, certification is done by the director. In most cases, the director will have no personal knowledge of the individual being recommended for certification. Certification is primarily an administrative action by the director. However, it is still an important action, and directors must rely on their operations training officers and qualification examiners to maintain the integrity of the certification process. (See Chapter 6 for additional information about qualification examiners)

4-1 CH-1

A.2. Certification **Process**

When the trainee has completed the qualification process, meaning that the dockside oral exam and underway check ride tasks have been signed off by a QE, the QE forwards a certification recommendation to the director (see Appendix C for a sample). The director verifies that all prerequisites and eligibility criteria have been met. Then the director signs the certification and makes appropriate AUXDATA entries.

A.3. Insignia and Awards

Once certified as crew/coxswain/PWC operator, an Auxiliarist is authorized to wear the Operations Program ribbon on the uniform. If the member has already earned the ribbon, then a small bronze star may be added to the ribbon.

Once certified as Coxswain, an Auxiliarist is authorized to wear the coxswain breast device on the uniform.

Entitlement to wear the ribbon and the breast device is permanent, even if the member's certification lapses or the member becomes inactive in this program.

A.4. Additional Training

No additional qualification tasks may be required to achieve either qualification or certification. The tasks (not including optional tasks) in each volume of the Qualification Guide represent a uniform, national standard for qualification and certification. A member who successfully completes these tasks is entitled to be certified by the director, and to earn appropriate recognition, including certificates, insignia, and ribbons.

Order-issuing authorities may require additional training, based on local operational considerations, prior to assigning a certified member to boat crew duty.

A.5. Failure to Certify

When a QE forwards a certification recommendation to a director, there should be no question as to the trainee's ability to meet the performance standards. Any problems regarding the trainee's qualification should be resolved at the unit level, as described in Section 3.D.3.

The director may withhold certification in the following circumstances:

- Failure to meet eligibility requirements.
- Pending disciplinary action.

CH-1 4-2

A.6. Lapse of Certification

Once certified, a member must maintain a minimum level of activity to remain proficient and keep skills sharp. Chapter 5 discusses these minimum annual **currency** requirements. If a member is unable to meet the annual minimums, their certification will lapse and they will have to follow the recertification procedure in Section 5.B.

A.7. Removal of Certification

The director may suspend a member's certification in certain circumstances. Reasons for suspension include:

- Failure to maintain ability to meet performance standards
- Dangerous or inappropriate actions or behavior under orders
- Disciplinary action

When a member's certification is suspended, it must be done with the intent that the member will regain certification when the problem is fixed. Accordingly, any action to suspend a certification must be accompanied by a plan for corrective action.

Suspension of certification will **NOT** be used as a punitive measure. It will be invoked only when a member's ability or conduct makes it unsafe or inappropriate to serve under Coast Guard orders.

Refer to the Auxiliary Manual, COMDTINST M16790.1 (series) for the complete policy on suspension of certification.

A.8. Inter-District Transfers

Certification for boat crew positions is valid only in the district or region in which the certification is issued. A certified Auxiliarist visiting or permanently relocating to another district must be certified by the director in that district prior to being assigned to duty. This is accomplished by completing an underway check ride with a QE (Tasks BCM-08-04-AUX) or COX-09-06-AUX).

4-3 CH-1

Section B. Dual Membership

B.1. General

There are Auxiliary members who are also members of the active, reserve, or retired components of the Coast Guard. Auxiliary members who have been certified in the active duty boat crew program have valuable skills that can be used in the Auxiliary. These members may follow an abbreviated certification process as described below.

B.2 Currently Certified Coxswain

An Auxiliary member who is also a member of the active duty or reserve component and currently certified as coxswain in any Coast Guard standard boat type may be certified as an Auxiliary coxswain by completing the following:

- Task COX-05-04-AUX, Sketch a chart of the local operating area (Required only if the coxswain is not stationed in the Auxiliary area of responsibility.)
- Task COX-09-06-AUX, Check Ride

Depending on the member's experience and operational requirements, the director may waive completion of these tasks.

B.3 Currently Certified Crew Member

An Auxiliary member who is also a member of the Coast Guard (active or reserve) and currently certified as coxswain, engineer, or crew member in any Coast Guard standard boat type may be certified as an Auxiliary crew member by completing the following:

• Task BCM-08-04-AUX, Check Ride.

Depending on the member's experience and operational requirements, the director may waive completion of this task.

B4. **Previously** Certified

An Auxiliary member who is also a member of the active, reserve, or retired component of the Coast Guard and has been certified in any Coast Guard boat type within the last 5 years will be considered qualified at the same level in the Auxiliary, but will need to regain certification.

For example, an active duty coxswain will be considered qualified as an Auxiliary coxswain, and an active duty engineer or crew member will be considered qualified as an Auxiliary crew member.

Continued on next page

CH-1 4-4

Chapter 5. Currency Maintenance

Overview

This chapter describes the requirements for currency maintenance. This process ensures that members maintain their proficiency and ability to safely perform their duties. This chapter contains two sections:

• Section A: Normal Currency Maintenance

• Section B: Recertification

Section A. Normal Currency Maintenance

A.1. General

Currency requirements consist of a set of activities and tasks that must be performed each year to retain proficiency. The requirements for each qualification level are laid out in this section.

A.2. Currency Maintenance Cycle

Currency maintenance is carried out on a 5 year cycle, with certain requirements every year during the cycle.

The first year of the currency cycle begins on 1 January of the year following initial certification. Currency requirements must be met by 31 December of that year. For example, if a member is certified as a coxswain on 15 July 2000, that member's first currency year begins on 1 January 2001, and the member must meet all annual currency requirements by 31 December 2001. The 5th year currency requirements must be met by 31 December 2005 in this example. Annual currency requirements must be met during the first full calendar year after certification; credit will not be given to hours or tasks completed in the partial year of initial certification.

Failure to meet currency requirements in any year of the cycle will cause a member's certification to **lapse**. See Section 5.B. for procedures to regain a lapsed certification.

5-1 CH-1

A.3. Crew member

To maintain currency, a certified crew member must log 8 hours underway on orders each calendar year.

Additionally, the crew member must perform the following tasks each calendar year:

- BCM-03-04-AUX, Assist the Coxswain with a Pre-Underway Check-Off
- BCM-07-02-AUX, Participate in a Man Overboard Evolution as a Recovery/Pickup Man
- BCM-07-05-AUX, Pass a Towline to Another Boat and Take in Stern Tow
- BCM-07-08-AUX, Take a Boat in Alongside Tow

In years 1 through 4 of the currency cycle, a certified coxswain must sign off these tasks.

A.4. Coxswain

To maintain currency, a certified coxswain must log 8 hours underway on orders each calendar year.

Additionally, the coxswain must perform the following tasks each calendar year:

- COX-03-04-AUX, Complete a Pre-Underway Check-off
- COX-07-02-AUX, Rescue a Person from the Water Using the Direct Pick-Up Method
- COX-08-05-AUX, Take a Vessel in Stern Tow
- COX-08-07-AUX, Take a Boat in Alongside Tow

In years 1 through 4 of the currency cycle, a certified coxswain must sign off these tasks. Coxswains may sign off completion of their own tasks, as well as those of their crew.

CH-1 5-2

A.5. Fifth Year Currency

By the 5th year of the currency cycle, the following requirements apply:

- For both coxswains and crew members, annual currency tasks must be signed off by a QE. If the coxswain is a QE, he/she must be signed off by another QE.
- Coxswains must pass an open book Auxiliary Navigation Rules exam with a minimum score of 90 percent.

As an alternative to the Auxiliary Navigation Rules exam, the member may:

- Score at least 90 percent on the Coast Guard Institute Navigation Rules exam; or
- Hold a current merchant marine deck officer or operator license.

These currency requirements may be signed off by a QE at any time in the 5 year cycle. Doing so will start a new 5 year cycle. For example, a member qualifies as coxswain on 15 July 2000. The 1st year of the coxswain's currency cycle starts on 1 January 2001, with 5th year currency required by 31 December 2005. If the coxswain completes all 5th year requirements in 2003, a new cycle starts on 1 January 2004, and the coxswain has until 31 December 2008 to complete 5th year requirements.

A.6. Task Sign-Offs

Annual currency maintenance task requirements may be satisfied while on any operational or training mission. Actual performance of a required task, in accordance with performance standards, satisfies the annual requirement. For example, towing a disabled vessel during an actual SAR case meets the currency requirement. The same policy applies to the 5th year requirements, as long as they are witnessed and signed off by a QE.

A.7 Workshops and OPEX

Workshops and operational exercises (OPEX) are not normally a requirement for annual currency. However, members are strongly encouraged to attend these worthwhile training events to help build their knowledge and skills.

5-3 CH-1

Section B. Recertification

B.1 General

When members fail to meet annual currency requirements, their certification will lapse. A member whose certification has lapsed may be a boat crew member on an ordered patrol, but only in a trainee status. As a trainee, the member will not count toward meeting minimum crew requirements.

As a trainee, the member may accumulate the required patrol hours and have the required tasks signed off in order to be recertified.

The following paragraphs describe the procedure to follow to be recertified.

B.2. Recertification

A member who fails to meet annual currency requirements for 1 year or 2 consecutive years may be recertified by meeting the annual currency requirements, under the supervision of a certified coxswain, as shown in Section 5.A.

A member who fails to meet currency requirements for 3 consecutive years may be recertified by meeting the annual currency requirements, and must also complete a check ride, Task BCM-08-04-AUX or COX-09-06-AUX. It is recommended that the check ride be signed off by a QE, but a certified coxswain may sign it off if a QE is not reasonably available, as determined by the director.

Meeting the annual currency requirements and completing a check ride may recertify a member who fails to meet currency requirements for 4 consecutive years. The check ride must be signed off by a QE.

B.3. **Downgrading** of **Qualification**

A member who is unable to or does not wish to maintain certification as a coxswain may downgrade to the crew member level by meeting the currency requirements for crew member. The member may continue to wear the coxswain breast device, in accordance with Section 4.A.3. even though no longer certified at the coxswain level.

CH-1 5-4

B.6. Number of **QEs**

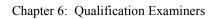
The following guidelines can help the director determine how many QEs are required in the district:

- Trainees should not have to travel more than 50 miles from their home to attend a QE sign-off session.
- Trainees, once ready to have a set of tasks signed off, should not have to wait more than 2 weeks for a QE session.

B.7. AUXDATA Entries

QEs will report their time underway on the Activity Report – Mission, (ANSC 7030) as Category 1, and Line 1 (lead), separate from the report used by the crew operating the facility. This time will count toward the annual currency requirement for hours underway. Time spent on QE activity not underway will be reported as Category 7, Line 1.

6-5 CH-1



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CH-1 6-6

Appendix A. Glossary

AOR	Area of responsibility. Refers to a geographic area in which a Coast Guard commander is responsible for carrying out missions.
AUXDATA	Auxiliary Data System. The national membership, qualification, and mission performance database.
boat crew program	A general term referring to the overall program of training, qualifying, and certifying members in any boat crew position: crew member, coxswain, or PWC operator.
certification	Initial command verification that the member has acquired the necessary knowledge and skills, the annual verification that the member retains those skills.
COMDTINST	Commandant Instruction. A directive issued by the Commandant to establish policies and procedures. Instructions may also be issued by subordinate commands (e.g., Commander, Seventh Coast Guard District would issue a CCGDSEVENINST; Group Detroit would issue a GRUDETINST).
commander	A Coast Guard officer in command of subordinate units. As used in this Manual, refers to any Coast Guard commander, commanding officer, or officer in charge.
concept of operations	A fundamental or underlying procedural or philosophical statement of how a mission is accomplished or how an objective reached; how means are used to achieve ends. Also referred to as a CONOP.
controlling authority	A public safety agency that assumes the communications guard for a facility on patrol. This term is used in locations not covered by the active-duty command and control system
coxswain	The person in charge of a boat, responsible for the safety and conduct of the crew and passengers and the completion of the assigned mission.
crew member	A person embarked in a boat to assist with boat handling, and carrying out the assigned tasks of the mission.

A-1 CH-1

director

Director of Auxiliary. An officer assigned to a district commander's staff, responsible for directing and managing Auxiliary programs in the Auxiliary district or region. Also referred to as DIRAUX.

facility

A boat, aircraft, or radio station owned by an Auxiliary member or unit and offered for use by the Coast Guard.

mentor

An Auxiliary member who partners with a trainee to teach and coach the development of the trainee's knowledge and skills.

operational risk management

A continuous, systematic process of identifying and controlling risks in all activities according to a set of preconceived parameters by applying appropriate management policies and procedures. This process includes detecting hazards, assessing risks, and implementing and monitoring risk controls to support effective, risk-based decision-making.

operations training officer

A chief warrant officer assigned to the director's staff to coordinate and support the boat crew training program in that district or region. Support includes serving as a trainer.

patrol

The movement of an Auxiliary operational vessel facility, on reimbursable or non-reimbursable orders, to carry out an assigned mission.

PWC

Personal watercraft. A small, fast boat with water-jet propulsion, in which the operator and crew sit on or stand over a raised saddle.

qualification

The process of initial entry into the boat crew program, in which the member learns and demonstrates the knowledge and skills required to perform assigned missions.

qualification examiner

A certified Auxiliary, active duty, or reserve coxswain appointed by the director to verify that trainees are able to perform qualification tasks to specified standards.

task

A certain skill or piece of knowledge required in the performance of duty as an Auxiliary boat crew member. Collectively, the complete set of tasks represents the minimum performance standard for the position.

A-2 CH-1